

Agenda



Listening Learning Leading

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A MEETING OF THE

Audit and Corporate Governance Committee

WILL BE HELD ON TUESDAY 29 JANUARY 2013 AT 6.00 PM

COUNCIL CHAMBER, COUNCIL OFFICES, CROWMARSH GIFFORD

Members of the Committee:

Mr Michael Welply (Chairman)

Mr Philip Cross (Vice-Chairman)

Mr David Bretherton
Mr John Cotton

Ms Kristina Crabbe
Mrs Margaret Davies
Mr Mark Gray

Mr Paul Harrison

Substitutes

*Mr Roger Bell
Mrs Celia Collett, MBE
Mr Bernard Cooper
Mrs Pat Dawe
Mr Leo Docherty*

*Mrs Eleanor Hards
Mr Stephen Harrod
Mr Marc Hiles
Dr Christopher Hood
Mrs Ann Midwinter*

*Ms Anne Purse
Mr Robert Simister
Mr David Turner*

If you would like a copy of these papers in large print, Braille or audio cassette or have any other special requirements (such as access facilities) please contact the officer named on this agenda. Please give as much notice as possible before the meeting

- 1 **Apologies**
- 2 **Declaration of disclosable pecuniary interest**
- 3 **Minutes of the previous meeting**

Minutes of the meeting on 27 September 2012 **(previously distributed)**.

- 4 **Internal audit activity report 2012/13 quarter 3** (Pages 5 - 28)

Purpose: to summarise the outcomes of recent internal audit activity for the committee to consider. The committee is asked to review the report and the main issues arising, and seek assurance that action has been or will be taken where necessary **(report attached)**

- 5 **Internal audit management report 2012/13 quarter 3** (Pages 29 - 46)

Purpose: to report on management issues, summarise the progress of the internal audit team against the 2012/13 audit plan up to 17 January 2013 and to summarise the priorities and planned audit work for the remainder of quarter four 2012/13 **(report attached)**

- 6 **Treasury management mid year monitoring report 2012/13**
(Pages 47 - 58)

Purpose: to scrutinise the report to ensure that the treasury activities are carried out in accordance with the treasury management strategy and policy **(report and appendix attached)**

- 7 **Treasury management strategy 2013/14** (Pages 59 - 96)

Purpose: to scrutinise the treasury management strategy and policy and if required make recommendations for amendment to cabinet **(to follow)**

- 8 **Ernst & Young: Audit fee letter** (Pages 97 - 100)

Purpose: to confirm the work that Ernst & Young propose to undertake for the 2012/13 financial year and the fees it will incur **(letter attached)**

- 9 **Ernst & Young: annual certification report** (Pages 101 - 108)

Purpose: to consider the report that summarises the results of certification work, undertaken by both Ernst & Young and Audit Commission staff on 2011/12 claims and returns **(report attached)**

10 Dispensations and the councillors' code of conduct (Pages 109 - 116)

Purpose: to consider the arrangements for dealing with requests for dispensations from councillors, consistent with both the councillors' code of conduct and the Localism Act 2011 (and associated regulations) **(report attached)**

MARGARET REED

Head of Legal and Democratic Services